

TOWNSEND and TOWNSEND and CREW LLP

By: /Tiffany Wu/

Tiffany Wu

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

SRIKANTH KARIMISETTY et
al.

Application No.: 10/731,604

Filed: December 8, 2003

For: METHOD AND SYSTEM FOR
CREATING QUERIES THAT OPERATE
ON UNSTRUCTURED DATA STORED
IN A DATABASE

Confirmation No.: 4746

Examiner: OMOSEWO, OLUBUSOLA

Art Unit: 2168

SUPPLEMENTAL INFORMATION
DISCLOSURE STATEMENT UNDER
37 CFR §1.97 and §1.98

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

The references cited on attached form PTO/SB/08A and PTO/SB/08B are being called to the attention of the Examiner. Copies of the references [in compliance with the requirements of 37 CFR §1.98(a)(2)] are enclosed. It is respectfully requested that the cited references be expressly considered during the prosecution of this application, and the references be made of record therein and appear among the "references cited" on any patent to issue therefrom.

As provided for by 37 CFR §1.97(g) and (h), no inference should be made that the information and references cited are prior art merely because they are in this statement and no

representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information.

Applicant believes that no fee is required for submission of this statement.

However, if a fee is required, the Commissioner is authorized to deduct such fee from the undersigned's Deposit Account No. 20-1430. Please deduct any additional fees from, or credit any overpayment to, the above-noted Deposit Account.

Respectfully submitted,

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61099003 v1

Substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(Use as many sheets as necessary)</i>		Complete if Known	
		Application Number	10/731,604
		Filing Date	December 8, 2003
		First Named Inventor	Karimisetty, Srikanth
		Art Unit	2168
Examiner Name	OMOSEWO, OLUBUSOLA		
Attorney Docket Number	021756-002100US		
Sheet	1	of	4

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No.	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number Kind Code ¹ (if known)			
	1	5,434,917	07-18-1995	Naccache et al.	
	2	5,504,818	04-02-1996	Okano	
	3	5,978,475	11-02-1999	Schneier et al.	
	4	6,295,513	09-25-2001	Thackston	
	5	6,366,934	04-02-2002	Cheng et al.	
	6	6,796,489	09-28-2004	Slater et al.	
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	9	6,928,396	08-09-2005	Thackston	
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	34	2003/0078880	04-24-2003	Alley et al.	
	35	2003/0131241	07-10-2003	Gladney	
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		Number Kind Code ² (if known)			
	36	2003/0149608	08-07-2003	Kall et al.	
	37	2003/0150908	08-14-2003	Pokorny et al.	
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	48	2005/0132201	06-16-2005	Pitman et al.	
	49	2005/0240770	10-27-2005	Lane et al.	

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<i>Sheet</i>	3	<i>of</i>	4	<i>Attorney Docket Number</i>	021756-002100US

NON PATENT LITERATURE DOCUMENTS				
Examiner Initials *	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²	
	50	BERTINO et al. "Integrating XML and Databases" IEEE Internet Computing July-Aug 2001	<input type="checkbox"/>	
	51	CHUEH et al., "An XML Portable Chart Format," 1998, Proc AMIA Symp., pp. 730-734.	<input type="checkbox"/>	
	52	FDA, Guidance for Industry Part 11, Electronic Records; Electronic Signatures - Scope and Application, Aug. 2003.	<input type="checkbox"/>	
	53	MATHIESON, "Implementing Oracle Workflow," European Oracle User Group 1999 Presentation pages 1-11	<input type="checkbox"/>	
	54	MEEHAN, "Deploying Hummingbird Document and Records Management Products to Comply with 21 CFR Part 11: Electronic Records; Electronic Signatures," April 2003, Hummingbird.	<input type="checkbox"/>	
	55	MUIRHEAD, "Impact of 21 CFR Part 11 on clinical laboratories: An overview," Aug. 2001, American Clinical Laboratory, pp. 33-34.	<input type="checkbox"/>	
	56	NOFERI et al. "Information Age Audits" BioPharm Jan 2002 pgs 35-39 and 50	<input type="checkbox"/>	
	57	ORACLE, "Oracle Workflow Release 2.6.2 Business Event System and PL/SQL Development Guidelines October 2001 26 pages	<input type="checkbox"/>	
	58	ORACLE, "Question & Answer Document for Oracle Appsworld" January 19-22, 2003 pg 1-81	<input type="checkbox"/>	
	59	ORACLE, Oracle Life Sciences Platform Feature Summary, Feb. 2003, Oracle Corp.	<input type="checkbox"/>	
	60	PAPAZOGLOU, "The World of e-Business: Web-Services, Workflows, and Business Transactions" WES 2002, LNCS 2512, pp 153-173, 2002	<input type="checkbox"/>	
Examiner Signature				Date Considered

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	61	SmarTeam, SMARTEAM - FDA Compliance Configuration (SFA), IBM/SmarTeam, Sep. 2003.	<input type="checkbox"/>	
	62	SmarTeam, SMARTeam™ FDA Compliance Technical Paper Functional Compliance With FDA Rule 21 CFR Part 11, July 2002, SMARTEAM Corp.	<input type="checkbox"/>	
	63	STEMBER, LABTrack: Introducing a legal electronic lab notebook, 221st National Meeting, American Chemical Society, Division of Chemical Information.	<input type="checkbox"/>	

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